## 1D and 2D symbolic dynamical systems

### Χαράλαμπος Ζηνοβιάδης

University of Turku

#### Ποσείδι, 1 Σεπτεμβρίου 2016

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Alphabets and Configurations

#### ■ Alphabet: A finite set of letters *A*.

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Alphabet: A finite set of letters A.
(dD) Configuration: A mapping c: Z<sup>d</sup> → A.

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- Alphabet: A finite set of letters *A*.
- (*d*D) **Configuration**: A mapping  $c : \mathbb{Z}^d \to \mathcal{A}$ .
- (*dD*) **Full shift**: The set of all configurations  $\mathcal{A}^{\mathbb{Z}^d}$ .

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Topology and Dynamics

**Compact topology** for the full shift.

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• Product of the discrete topology on  $\mathcal{A}$ .



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- Product of the discrete topology on  $\mathcal{A}$ .
- $(c_i)_{i \in \mathbb{N}}$  converges iff  $(c_i(\vec{x}))_{i \in \mathbb{N}}$  is eventually constant for all  $\vec{x} \in \mathbb{Z}^d$ .

Image: Image:

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• Shift action:  $\sigma^{\vec{n}}(c)(\vec{x}) = c(\vec{x} + \vec{n}), \forall c \in \mathcal{A}^{\mathbb{Z}^d}, \forall \vec{x} \in \mathbb{Z}^d.$ 

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- Shifts are homeomorphisms.

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# **Pattern**: A partial assignment $p: D \to A$ , where $D \subseteq \mathbb{Z}^d$ is *finite*.



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- Subshifts are the closed and  $\sigma$ -invariant subsets of  $\mathcal{A}^{\mathbb{Z}^d}$ .
- Subshift of Finite Type (SFT): A subshift defined by a finite set of forbidden patterns.

• Set of infinite paths on a directed graph  $X_G$ .



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- Set of infinite paths on a directed graph  $X_G$ .
- Associated transition matrix A<sub>G</sub>.



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- Set of infinite paths on a directed graph  $X_G$ .
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- Dynamical properties of X<sub>G</sub> correspond to spectral properties of A<sub>G</sub>.

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- Set of infinite paths on a directed graph X<sub>G</sub>.
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- Periodic points, transitivity, mixing.

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- Periodic points, transitivity, mixing.
- Perron-Frobenius Theory, principal eigenvalue, entropy.

• Wang tiles, unit squares with coloured edges.

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- Finite number of tiles, but infinite copies of each tile.
- Adjacent tiles must have the same color in abutting edges.
- No (efficient) algebraic description.

## Aperiodic SFTs

#### Periodic configuration c:

 $\exists \text{ lineraly independent } \vec{n_1}, \ldots, \vec{n_d} \in \mathbb{Z}^d \text{ such that } c(\vec{x} + \vec{n_i}) = c(\vec{x}), \forall \vec{x} \in \mathbb{Z}^d \text{ and } i = 1, \ldots, d.$ 

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No aperiodic 1D SFT. Cycle in the graph.

There exists an aperiodic 2D SFT.

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There exists an aperiodic 2D SFT.

Self-similarity.

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There exists an aperiodic 2D SFT.

- Self-similarity.
- Original construction had 20426 tiles.

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There exists an aperiodic 2D SFT.

- Self-similarity.
- Original construction had 20426 tiles.
- Smallest (possible) has 11.

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#### The Emptiness Problem

Given a *d*D finite set of forbidden patterns  $\mathcal{F}$ , is  $X_{\mathcal{F}} \neq \emptyset$ ?

Is there an algorithm for this problem?

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- If aperiodic SFTs do not exist, then (exactly) one of the semi-algorithms will halt.

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Emptiness is decidable for 1D SFTs.

## What happens in higher dimensions?

#### Theorem (Berger 1966, Robinson 1971, Kari 2008)

#### The Emptiness Problem is undecidable for d = 2.

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## What happens in higher dimensions?

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Sierpinski carpet can be "realized".



## What happens in higher dimensions?

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- Sierpinski carpet can be "realized".
- Embedding of Turing Machine computations inside.

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• X is a 2D subshift.



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- X is a 2D subshift.
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*I* is **expansive** for X if there exists r > 0 such that every  $x \in X$  is determined by  $x_{\ell_r}$ .

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Image: Image:

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- A 2D configuration encoded in a 1D strip.
- $\mathcal{N}(X)$  denotes the set of *non*-expansive directions of X.

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#### Theorem (Boyle-Lind 1997)

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Reducing a 2D object to 1D as much as possible.

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- Reducing a 2D object to 1D as much as possible.
- Are extremely expansive 2D SFTs closer to the 1D or to the 2D case?

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# Aperiodicity and Undecidabilty for Extremely Expansive SFTs

#### Theorem (Guillon-Z. 2016)

There exists an aperiodic extremely expansive 2D SFT.

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Aperiodicity and Undecidabilty for Extremely Expansive SFTs

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The Emptiness Problem is undecidable for extremely expansive 2D SFTs.

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Extremely expansive remain essentially 2D.

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## Structure of $\mathcal{N}(X)$

#### Question

### What can $\mathcal{N}(X) \subseteq \mathbb{R} \sqcup \{\infty\}$ look like?

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#### Theorem (Hochman 2011)

For every closed set of directions  $\mathcal{N}_0$ , there exists a subshift X such that  $\mathcal{N}(X) = \mathcal{N}_0$ .

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#### Theorem

 $\mathcal{N}(X)$  is effectively closed under the one-point compactification of  $\mathbb{R} \sqcup \{\infty\}$ .



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#### Theorem

 $\mathcal{N}(X)$  is effectively closed under the one-point compactification of  $\mathbb{R} \sqcup \{\infty\}$ .

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 $\mathcal{N}(X)$  is effectively closed under the one-point compactification of  $\mathbb{R} \sqcup \{\infty\}$ .

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- Additional computational theoretic restriction.

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- As happens usually in 2D SFTs, necessary computational restriction turns out to be also sufficient.

#### Theorem

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#### Theorem (Guillon-Z. 2016)

For every effectively closed set of directions  $\mathcal{N}_0$ , there exists a 2D SFT X such that  $\mathcal{N}(X) = \mathcal{N}_0$ .

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## Non-expansive direction of an extremely expansive 2D SFT

#### Theorem

*I* is the unique direction of expansiveness of an SFT iff it is a **recursive** number.

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## Non-expansive direction of an extremely expansive 2D SFT

#### Theorem

*I* is the unique direction of expansiveness of an SFT iff it is a **recursive** number.

- There exists an algorithm that takes *n* and gives an approximation of error  $\leq 2^{-n}$ .
- Connection between computational and geometric notions.



#### Σας ευχαριστώ!

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Χαράλαμπος Ζηνοβιάδης

1D and 2D symbolic dynamical systems

University of Turku